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B.Com Honours

Semester 5

Calicut University

FUNDAMENTALS OF INVESTMENT BANKING

Course Code: COM5EJ315 • Module SYLLABUS Notes

Official Course Syllabus

Course Code: COM5EJ315

Course Title: FUNDAMENTALS OF INVESTMENT BANKING

Course Type: Major (Elective)

Academic Level: 300-399

Credits: 4 Credits

Course Summary: The course is designed to provide students with a foundational understanding of the investment banking industry. The aim of this course is to furnish students with fundamental knowledge and comprehension of the investment banking industry, alongside detailed discussions on the regulatory framework governing investment banking in India.

**Module I: nnovations, and emerging technologies shaping the investment
AnPWritten examination Assignment Project Case analysis 182 banking
landscape, preparing them for the dynamic nature of the**

Module I: Introduction to Investment Banking

- **Unit 1:** Meaning, Definition, Concept, Importance of Investment Banking, Commercial Banks vs. Investment banks
- **Unit 1:** History and Emergence of Investment Banking-Functions of Investment Banks; Types of Investment Banking Operations
- **Unit 2:** Concept of Financial Services, Importance, Fund based and Fee based advisory services
- **Unit 1:** Regulatory Environment -- Financial crisis of 2008 and Dodd-Frank Act (DFA) - European Market Infrastructure Regulation (EMIR) - Markets

**Module I: ndustry Association of Investment Bankers of India (AIBI), AIBI's
Code of Conduct for Merchant Bankers.**

**Module I: nstruments including international funding and rating. Business of
trading.**

- **Unit 2:** Accrediting Agency-Credit Rating Agencies
- **Unit 4:** Credit Rating Concept-Rating Process and Methodology-Credit rating

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